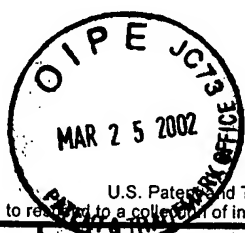


1762



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PTO/SB/21 (08-00)

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|---|------------------------|--------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/023,472 |
| | Filing Date | 12/17/2001 |
| | First Named Inventor | Kerns et al. |
| | Group Art Unit | 1762 |
| | Examiner Name | |
| Total Number of Pages in This Submission | Attorney Docket Number | 2460 |

| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal Form 1449 IDS; Cited References; and Return Postcard |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
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| Firm or Individual name | Beck & Tysver, P.L.L.C. |
| Signature | <i>Stephanie Smith</i> |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 3/21/02 | |
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10/023,472

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|---|-----------------|------|
| Applicant: | William J. Kerns et. al | Examiner: | |
| Serial No.: | 10/023,472 | Group Art Unit: | 1762 |
| Filing Date: | December 17, 2001 | Docket No.: | 2460 |
| Title | Identification Particle and System and Method for Retrospective Identification Using Spectral Codes | | |

Date of Deposit: 3/21/02

I hereby certify that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

Signature:

Printed Name: JoAnn Shimota

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Information Disclosure Statement
37 C.F. R. §1.97(b)

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Assistant Commissioner for Patents
Washington, DC 20231

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner under the terms of 37 C.F.R. §1.97(b). This statement should be considered because it is submitted within three months of either the filing date of a national application or the date of entry of the national stage, or because it is submitted before the mailing date of a first Office Action on-the-merits.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103. Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is

intended. The Applicant reserves the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish either point to the contrary.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 500246.

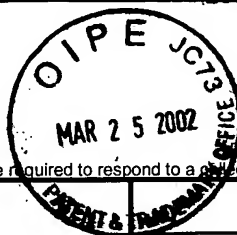
Respectfully submitted,
MICROTRACE, INC.
By its attorneys:

Date: 3/21/02



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Complete if Known

| | |
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| Application Number | 10/023,472 |
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| Examiner Name | |
| Attorney Docket Number | 2460 |

(use as many sheets as necessary)

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|-------|---|----|---|------------------------|------|
| Sheet | 1 | of | 2 | Attorney Docket Number | 2460 |
|-------|---|----|---|------------------------|------|

[illegible][illegible]

Examiner
Signature

| | |
|------------|--|
| Date | |
| Considered | |

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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